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Strong pinning of triple junction migration for robust high strain nanostructures

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**ABSTRACT**

The universality of a key recovery mechanism: triple junction migration in high strain nanostructures is revealed herein. This migration is the only means to uniformly coarsen deformed lamellar microstructures. Migration of medium to high angle geometrically necessary boundaries at triple junctions is resisted by strong pinning phenomena. Pinning by low angle dislocation boundaries is the novel mechanism that greatly adds to the solute drag of these higher angle boundaries during migration at triple junctions. Solutes furthermore cause a significant increase in the dislocation density of the low angle boundaries formed during deformation and thus greatly enhance the observed pinning. Boundary pinning by dislocation boundaries and solute drag is analysed for deformed Ni of different purities via in and ex situ electron microscopy. A kinetic model is utilised to obtain activation energies that quantitatively demonstrate the strength of this pinning. A new strategy for achieving robust nanostructured metals is developed based on solute and dislocation pinning of triple junction migration – a universal recovery mechanism in deformed lamellar microstructures.

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1. Introduction

Structural refinement of metals to the average nanometre dimension of 5 nm can be achieved by plastic deformation to extreme strain [1]. To reach and maintain the finest dimension, recovery and recrystallisation must be suppressed during both processing and storage. Recovery is a precursor to recrystallisation so that both dynamic and static recovery mechanisms need to be identified and quantitatively analysed to best design the chemical composition and microstructure of advanced materials. The formation and removal of high angle (grain) boundaries is a key issue in the quest for ultrafine structural metals with very high strength and formability.
Triple junction migration is a novel recovery mechanism that removes boundaries during both plastic deformation and annealing, previously shown in Al [2–4]. These junctions, each formed by three lamellar boundaries were named Y-junctions based on their origin and morphology [3] (see also Figure 1). In contrast to commonly known recovery mechanisms, Y-junction migration occurs in fine lamellar microstructures, i.e. microstructures formed by high monotonic strain. This migration increases lamellar boundary spacing via the local migration of lamellar boundaries at their Y-junctions, while maintaining a lamellar morphology that may very gradually transform into an equiaxed one [2,3]. Note that lamellar boundaries are defined as the geometrically necessary boundaries (GNBs) that enclose each lamella [5]. The underlying mechanisms that pin and interact with this migration in terms of the dislocation structure and solutes need to be understood to counteract coarsening and promote refinement.

![Figure 1](image)

**Figure 1.** Deformation microstructures in the longitudinal sections of Ni compared to heavily cold-rolled Al. (a) Overview montages of 2N Ni; (b) 3N Ni; (c,d) TEM micrograph of Al and corresponding sketch of lamellar GNBs (black) and short IDBs (grey) (adapted from [3]). All triple junctions formed by lamellar GNBs (i.e. Y-junctions) in (d) are marked by arrows.
To explore Y-junction migration as a universal recovery mechanism, a new experimental test was designed utilising Ni with different solute contents, since Ni sits among the transition metals in the periodic table and has widely different properties compared to Al. Nickel differs substantially from Al in melting point (1455°C vs. 660°C), stacking fault energy (0.125 vs. 0.166 J/m²) and elastic properties (e.g. shear modulus of 79 vs. 26 GPa), all of which strongly affect thermally activated processes. The deformation microstructure and texture are similar in both heavily cold-rolled materials [5–7], but the structure in Ni is twice finer with a much higher stored energy. Stabilising Ni to increase refinement thus presents a challenge. Herein mechanisms that stabilise the structure and alter the kinetics of Y-junction migration are investigated based on the hypothesis that there is a strong link between the characteristics of the deformed microstructure and the mechanisms and kinetics of recovery and recrystallisation.

2. Materials and methods

Ni of two purities (99.97%, 3N and 99.5%, 2N) was chosen since recovery and recrystallisation are strongly affected by small amounts of impurities [8,9]. The commonly used solutes Fe and Mn are the principal impurities (Table 1). Both materials were deformed by accumulative roll bonding (ARB) to 6 cycles ($\varepsilon_{\text{V,M}} = 4.8$) at room temperature with lubrication (see [10] for details). The final thickness of the deformed material was 1 mm, and particles were present in the bonding interfaces. After deformation, the 2N Ni was annealed between 300°C and 700°C, whereas the 3N Ni was annealed between 100°C and 400°C.

After annealing, both Vickers hardness and microstructures were investigated in the longitudinal section, containing the rolling direction (RD) and the normal direction (ND). Samples were mechanically polished followed by electropolishing [8]. Vickers hardness tests were carried out with a load of 200 g and a holding time of 10 s, and at least 6 measurements were performed for each state. Due to the through-thickness microstructural and textural variations in ARB-deformed samples [11], microstructural characterisations were carried out in the vicinity of the thickness centre.

For electron backscatter diffraction (EBSD) analysis, step sizes between 15 and 50 nm were chosen. EBSD data were collected and processed using HKL Channel 5 software, and a critical angle of 1.5° was used in boundary detection. Thin foils for transmission electron microscopy (TEM) analyses were prepared using a modified window technique [12], and the experiment was carried out in

| Table 1. Chemical composition of the nickel used in the present study (wt.%) |
|-------------------|---|---|---|---|---|---|---|---|---|
| Ni | Fe | Mn | Si | C | Cu | S | P | Al | Mg | Ti |
| 2N Ni | 99.5 | 0.13 | 0.24 | 0.04 | 0.01 | 0.03 | 0.001 | – | – | – |
| 3N Ni | 99.97 | 0.009 | 0.002 | 0.005 | <0.002 | 0.001 | <0.001 | 0.004 | 0.003 | 0.003 | 0.002 |
a JEM 2100 transmission electron microscope, which was operated at 200 kV. For the in situ TEM observations, samples were heated at a heating rate of 0.2–0.4°C/s, and the microstructural changes were recorded by a TVIPS FastScan camera with a maximum frame rate of 12 fps at full resolution (1024 × 1024).

3. Results

3.1. Deformation microstructure

The deformation microstructure of both purities of Ni is composed of fine lamellae that are universally observed following high strain monotonic deformation in fcc (face-centred cubic) and bcc (body-centred cubic) metals [6], e.g. Figure 1(a–c). Lamellae are enclosed by medium to high angle GNBs whose interiors are further subdivided by low angle incidental dislocation boundaries (IDBs) and dislocations (Figures 1–3). The IDBs are randomly inclined about the ND and connect directly to the lamellar GNBs. These two types of boundaries are created by the deformation; i.e. they are deformation-induced. Both types are also rotation boundaries that separate volumes with different crystal orientations.

Boundary spacings are given in Table 2 for lamella measured along the ND by TEM, EBSD and electron channelling contrast (ECC), and for IDBs measured parallel to the lamella. Also tabulated are fractions of high angle boundaries (>15°) and rolling texture components. The average misorientation angle of the IDBs is between 1.5° and 3°. The average length to thickness aspect ratio of the thin lamella is ~10. All aspects of 2N Ni deformation microstructure are remarkably finer than that of 3N Ni, demonstrating a strong effect of solutes while suggesting a much higher stored energy and driving force for recovery and recrystallisation in 2N Ni.

An abundance of Y-junctions was observed in both 2N and 3N Ni. Of special note is the observation that an IDB is almost always observed externally attached to the Y-junctions. This measured proximity is much closer than a random

Figure 2. IDBs and interior dislocations within individual lamellae of deformed 2N Ni. (a) Thin lamellae; (b) thick lamellae.
distribution. Boundary dislocations in IDBs may connect through their common GNB to the network of boundary dislocations in IDBs within the middle lamella of a Y-junction (Figure 3). Connections between IDB dislocations on one side of a GNB to IDB dislocations on the other side may occur via extrinsic and intrinsic GNB dislocations, see e.g. [13].

This deformation structure typically contains some coarse slip localised in bands of micro shear (see Section 3.4 Figure 8(a)). These bands sharply intersect lamella and play an active role in the dynamic creation of new Y-junctions that subsequently migrate and annihilate. See, for example, the special configurations of Y-junctions in the deformed microstructure in Figure 4, where many pairs of lamellae (two examples are shaded) have a similar orientation while forming high angle boundaries with neighbouring lamellae. This configuration occurs when a lamella is pinched by shear thereby creating a pair of Y-junctions that migrate away from each other during deformation, i.e. dynamic Y-junction migration has taken place during processing (see also [14] for an example in Al). Y-junction migration is responsible for the net dynamic loss of lamellar GNBs that accompanies refinement during deformation.

### 3.2. Hardness during recovery and recrystallisation

The hardness of 2N Ni is higher than that of 3N Ni mainly due to its much finer microstructure. Despite a higher stored energy, 2N Ni is thermally more stable, and its recrystallisation temperature is about 300°C higher than that of 3N Ni.
indicating a strong solute effect on the thermal stability as well as structural refinement. A high recrystallisation temperature gives rise to a large recovery window in 2N Ni; in situ TEM observations were thus performed for 2N Ni.

3.3. Y-junction migration in situ and ex situ studies

During in situ annealing of 2N Ni inside a transmission electron microscope, the migration of Y-junctions was observed and recorded. During recovery, boundary migration was observed only locally at Y-junctions where the GNBs have a high local curvature (Figure 6); i.e. generalised boundary migration did not occur anywhere else prior to recrystallisation. Figure 6(a) illustrates the downward migration as the temperature increases of a Y-junction terminating a thin lamella of black contrast. Migration of the Y-junction shortened the middle thin lamella, replaced two lamellar GNBs by one and increased the average lamellar boundary spacing, while keeping the general lamellar morphology. When the temperature was further increased, more Y-junctions migrated, e.g. Figure 6(b), where the arrowed Y-junction migrated upwards for a long distance as the temperature increased from 350°C to 400°C. In most cases, the removed/shortened lamellae were less than 60 nm thick in the ND, much thinner than the average lamellar boundary spacing shown in

(Figure 5), indicating a strong solute effect on the thermal stability as well as structural refinement. A high recrystallisation temperature gives rise to a large recovery window in 2N Ni; in situ TEM observations were thus performed for 2N Ni.
Table 2. The IDBs in neighbouring lamellae exhibit a strong pinning effect, retarding Y-junction migration. Junctions visibly paused and significantly slowed their migration when they reached an IDB. Migrating junctions in Figure 6 ultimately stop their migration at IDBs.

The uniform coarsening of the recovered matrix was further investigated by ex situ EBSD during annealing. For example, Figure 7 shows the raw EBSD data of a small area in 3N Ni before and after annealing for 1 h at 200°C. The black arrows indicate three examples of Y-junction motion.

3.4. Microstructural coarsening in bulk samples

In situ TEM observations on thin foils directly revealed the local mechanisms responsible for the coarsening pattern of the heavily deformed 2N Ni. To study the global coarsening kinetics in the interior, bulk samples were annealed and investigated by TEM, EBSD and ECC. Measurements of the average lamellar GNB spacing demonstrated that the annealed microstructure (Figures 8–10) developed a coarse lamella spacing compared to the as-deformed sample (Figure 1(a)), and agreed with the coarsening pattern revealed by the in situ TEM observations. After annealing at 400°C (up to 120 h), 450°C (up to 17 h) and 500°C (up to 4 h), the microstructure coarsened and examples are shown in Figure 8. No recrystallisation was observed in samples annealed at 400°C. Nucleation of recrystallisation was first observed after annealing at 450°C for 7 h and at 500°C for 10 min, where the lamellar GNB spacing of the matrix was increased from about 0.11 µm to 0.16 µm as measured by EBSD. The nuclei are sparse and small, and their volume fraction is less than 1% (Figure 9) except for one annealing condition (500°C for 4 h), where the volume fraction of nuclei has increased to about 5%. Average lamellar GNB spacings measured in the recovered matrix are plotted in Figure 10(b).

The 3N Ni in contrast is thermally less stable with recovery and recrystallisation occurring already during anneals between 200°C and 300°C (e.g. Figure 11).
After annealing, the average lamellar GNB spacing of the recovered matrix increased from about 0.16 µm to 0.18 µm as measured by EBSD. A number of recrystallisation nuclei, typically 1–4 µm in size and of Cube orientation, were also formed simultaneously. Y-junction migration was confirmed as the cause of uniform coarsening by ex situ EBSD during annealing at 200°C (e.g. Figure 7).

### 3.5. Effect of lamellar coarsening and annealing on the IDBs

During annealing, IDBs maintained a spacing and density similar to the as-deformed sample due to the geometrical continuity of rotation boundaries. The
overall dislocation density within lamella is thus relatively unchanged by Y-junction migration, even though some dislocations were observed by in situ TEM to rearrange and annihilate. These observations match the much higher hardness in 2N compared to 3N Ni for similar annealed lamella spacing (Figure 5).
Figure 9. ECC images showing nucleation of recrystallisation in 2N Ni. (a) Annealed at 450°C for 17 h; (b) 500°C for 1 h. The micron-sized nuclei are marked.

Figure 10. Recovery coarsening by Y-junction migration in 2N Ni. (a) Microstructure after annealing at 500°C for 0.5 h; (b) EBSD (solid symbols) and ECC (open symbols) data for the average lamellar GNB spacing at different annealed states. Curves are fitted based on the model in Section 3.6.

Figure 11. Coarsening and recrystallisation nuclei formed in 3N Ni after annealing at 220°C for just 10 min. The microstructure is colour coded according to the orientation of the TD. High and low angle boundaries are shown in thick and thin lines, respectively.
3.6. Coarsening kinetics

Experimental observations of a lamellar microstructure and recovery by Y-junction migration in Ni are similar to those in Al. The coarsening kinetics model for deformed microstructures [15] is applied herein and assumes that the driving force for coarsening comes from the stored energy that is proportional to the area of deformation-induced boundaries per unit volume. The model considers the increase of the apparent activation energy during coarsening. Following a classical recovery rate equation [16], it can be expressed as

$$\frac{dD}{dt} = k_1 D \exp \left( \frac{k_2}{DT} \right).$$

(1)

By the aid of exponential integrals, the integrated form can be expressed as

$$E_i \left( -\frac{k_2}{DT} \right) - E_i \left( -\frac{k_2}{D_0 T} \right) = -k_1 t,$$

(2)

where $E_i()$ is the exponential integral of the quantity inside the bracket, $D$ is the boundary spacing, $D_0$ is the boundary spacing before annealing, $T$ is the annealing temperature, $t$ is the annealing time, $k_2$ is a constant to be fitted, and $k_1$ is temperature dependent written as

$$k_1 = K_0 \exp \left( -\frac{Q_0}{RT} \right),$$

(3)

where $R$ is the gas constant, $K_0$ is a constant to be fitted, and $Q_0$ is the extrapolated activation energy at the end of recovery. The three fitting parameters $K_0$, $Q_0$ and $k_2$ are associated with the operative coarsening mechanisms.

Equation (2) describes the continuous coarsening of a deformation microstructure during isothermal annealing, and is applied to 2N Ni based on the lamella spacing (Figure 10(b)). Consequently as shown in Figure 12, $Q_0$ can

![Figure 12](image_url)

Figure 12. The increase of apparent activation energy during coarsening of 2N Ni. The inset shows the determination of $Q_0$. Grey area is a model extrapolation at later stages.
be estimated based on Equation (3) and the apparent activation energy $Q_{\text{app}}$ at any stage of coarsening can be estimated based on the following equation

$$Q_{\text{app}} = Q_0 - \frac{k_2 R}{D}.$$  

(4)

The apparent activation energy increased from 260 to 300 kJ/mol in the current experimental coarsening range (0.11–0.18 µm) for 2N Ni (Figure 12). The increase of the apparent activation energy by 40 kJ/mol is the main cause of the dramatic decrease of the coarsening rate from the early stage where $D = 0.11$ µm to a late stage where $D = 0.18$ µm.

Due to an early interference of recrystallisation in 3N Ni, such an analysis is not informative.

### 3.7. Recrystallisation kinetics

For the 2N Ni samples, a fully recrystallised microstructure was observed after anneals at either 550°C for 16 h or 600°C for 0.25 h. The average grain size is about 4 µm if twin boundaries are not considered, the fraction of high angle boundaries is over 90%, and the microstructure contains 20% Cube texture component, 35% retained rolling texture components and 45% random texture components according to EBSD analysis. In contrast, the 3N Ni samples recrystallised at much lower temperatures, so that after 220°C for 2.5 h recrystallisation was almost complete. This recrystallisation resulted in an average grain size of 10 µm, a high fraction of high angle boundaries (70%), and a strong Cube texture (68%).

The apparent activation energy for recrystallisation was estimated by comparing the annealing time needed at different temperatures when half of the volume was recrystallised. The fraction of recrystallised grains following annealing was calculated based on the measured distance covered by recrystallised grains along random lines drawn on ECC images. That distance was then divided by the total random line length to obtain the fraction recrystallised. These measurements are shown in Figure 13(a) for 2N Ni annealed between 500°C and 600°C and in Figure 13(c) for 3N Ni annealed between 200°C and 240°C. When the apparent activation energy for recrystallisation is assumed to be a constant, the recrystallisation kinetics curves at different temperatures should follow the same master curve with only a shift in position as sketched in Figure 13(a,c). The apparent activation energy for recrystallisation was estimated for each material by comparing the annealing time needed at different temperatures when half of the volume was recrystallised. These energies are 270 kJ/mol for 2N Ni and 100 kJ/mol for 3N reflecting their different responses to annealing (Figure 13(b,d) respectively).\(^1\) These activation energies are comparable to that for concurrent uniform coarsening by Y-junction migration, suggesting a fierce competition.
4. Discussion

Recovery coarsening absent in low strain Ni [18] but reported for medium-high strain Ni [19] was demonstrated in Ni of two purities deformed by ARB to a high strain. Y-junction migration is identified herein as the dominant mechanism for recovery coarsening, in agreement with previous observations in heavily deformed Al [2,3]. The universality of the lamellar microstructure formed by GNBs and IDBs [6], and the agreement with respect to Y-junction migration between materials with very different material properties indicates the universality of this recovery mechanism. The strong connection between the characteristics of the deformation microstructure and the mechanisms and kinetics of recovery and recrystallisation for highly deformed structural metals is thus demonstrated for the first time.

4.1. Y-junction migration

Y-junctions are present in the deformed microstructure and their migration does not require an incubation period. Y-junction migration starts at slightly lower activation energies than required by recrystallisation. It is less affected by solute drag since solutes may deposit at the tailing boundary. Y-junction migration is further enhanced by the high local boundary curvature that depends on lamella spacing (see Figure 1). Consequently, uniform coarsening
by Y-junction migration occurs earlier than recrystallisation although both processes involve migration of medium/high angle boundaries. Thin lamellae are preferentially removed, reducing the skewness of the boundary spacing distribution from 1.6 to 1 (Figure 14). This change is opposite to recrystallisation, which produces large new grains that increase the skewness significantly [3].

4.2. The effect of solutes/impurities on recovery versus recrystallisation

In the competition between uniform coarsening and recrystallisation, the former can be enhanced and the latter suppressed via changes in basic materials properties and processing parameters. Solute/impurities have a significant effect on increased structural refinement and in stabilising the deformed microstructure against recovery and recrystallisation [8,9]. The recrystallisation temperature of 2N Ni was about 300°C higher than that of 3N Ni. This increase gave 2N Ni a larger window for uniform coarsening. Uniform coarsening consumed half of the stored energy, which is assumed to be proportional to the boundary area per unit volume. The apparent activation energy for uniform coarsening increased from 260 to 300 kJ/mol, close to the energy for recrystallisation (270 kJ/mol). Both of them are consistent with the solute drag of Fe and Mn in the Ni matrix [20]. This similarity underpins the fierce competition between recovery and recrystallisation via the migration of medium/high angle boundaries.

In contrast, the activation energy for recrystallisation in 3N Ni is low (∼100 kJ/mol) due to a very low solute concentration, and similar to grain

![Figure 14. Distributions of the lamellar GNB spacing for deformed and annealed 2N Ni samples.](image-url)
boundary self-diffusion, 123 kJ/mol [21], indicating a similar barrier for Ni atoms jumping across and along the boundary. Comparably low activation energies are thus expected for Y-junction migration in 3N Ni, since Y-junction migration was observed to occur simultaneously to recrystallisation.

4.3. Dislocation pinning of junction migration and recovery coarsening

Unique to Y-junction migration is the pinning effect of IDBs that retards migration. The pinning has its cause in the interaction between dislocations in low angle IDBs that attach to lamellar GNBs (see Figure 3 and Sections 3.1 and 3.3). Before a moving Y-junction can pass an IDB that IDB must be transferred to the new trailing GNB. The IDB dislocations attached to the GNB are transferred one by one slowly across the junction (see also [22]). In addition, the transferred IDB must be lengthened to fill the gap left by the retreating GNB. More complex dislocation interactions and exchanges between the two types of boundaries may be necessary to preserve the geometric continuity of the rotation boundaries (e.g. [13]).

This pinning occurs regardless of solute content, but the presence of solutes greatly increases the density of dislocations in IDBs (see Figure 2 and Table 1) and thus their pinning force. It has long been known that solutes and impurities impede dislocation motion during both dynamic and static recovery. The high density of IDBs and their significant retarding effect indicates that this pinning will be manifested in the kinetics of Y-junction migration. While pinning is a subject for future work, a simple analysis is possible. The dislocation density between lamella were shown to remain fairly constant during annealing, thus their pinning effect may be treated as a constant in the coarsening model. This pinning is then embedded in the value of $K_0$ in Equation (3); the calculated apparent activation energy applies to the IDB pinning herein. As a consequence, the solute concentration affects not only solute drag of the boundaries but also the dislocation/IDB de-pinning mechanism.

4.4. Comparison between 2N Al and 2N Ni

The annihilation and reorganisation of dislocations are strongly dependent on the stacking fault energy and elastic properties. Compared to Al, static and dynamic recovery is therefore much more difficult in Ni resulting in IDB walls that are much less sharp and cells containing more interior dislocations (e.g. compare Figure 1(a–c) as well as [5–7]). The finer microstructure in Ni provides a larger driving force [3] for Y-junction motion, but at the same time there is also a larger pinning effect [22] due to more IDBs and interior dislocations in Ni.

The fact that there is less uniform coarsening in Ni than in Al is also strongly related to the earlier onset of recrystallisation in Ni aided by its very high stored
energy. At a purity of 99.5%, nucleation of recrystallisation in Al starts at a homologous temperature of 0.53 of the absolute melting temperature (i.e., 493K/933K for 220°C) [15], whereas in Ni it starts at 0.42 (450°C). If we compare the coarsening at the same homologous temperature of 0.42, which means 120°C for Al, then their coarsening rates are indeed quite similar (Figure 15).

4.5. Dynamic Y-junction migration

Dynamic Y-junction migration is an important mechanism counter-balancing structural refinement during high strain [14]. The present study shows that solutes contribute greatly to refinement during deformation by retarding dynamic Y-junction migration, decreasing lamella GNB spacing and increasing the density of dislocations in IDBs, e.g. compare 2N and 3N Ni. The fine spacing of lamellar GNBs and IDBs provide a high driving force for recovery and recrystallisation. Solutes counteract that driving force by segregating at both boundaries and dislocations. Solutes thereby stabilise IDBs as well as lamellar GNBs to pin Y-junction migration.

5. Conclusion

Uniform coarsening of lamellae was observed in heavily deformed and annealed 2N and 3N Ni preceding recrystallisation. Thermally activated Y-junction migration is the only means to uniformly coarsen deformed lamellar microstructures as seen herein and in agreement with previous observations in Al, suggesting the universality of this recovery mechanism. Loss of medium to high angle lamellar GNBs via Y-junction migration links the deformation microstructure to the recovery mechanisms.

The apparent activation energy for Y-junction migration in 2N Ni was estimated to be 260–300 kJ/mol, which is very close to that for recrystallisation.

Figure 15. Comparison of coarsening for 2N Ni and 2N Al at the homologous temperature of 0.42. The data for 2N Ni were measured by EBSD (solid symbols) and ECC (open symbols); the curve for 2N Al is predicted according to the coarsening model in [13].
Solutes suppress both Y-junction migration and recrystallisation as corroborated by their apparent activation energies, but the latter is suppressed more than the former.

The addition of solutes promotes the strong pinning of Y-junction migration via two important mechanisms: solute drag of medium/high angle lamellar GNBs and Y-junction pinning by dislocations present in low angle IDBs.

Note

1. It should be noted that the kinetics of recrystallization for 3N Ni at 220°C shown in Figure 13(c) is slightly different from that reported in a recent recrystallization study of the same deformed Ni [17]. This difference may have its cause in heterogeneities introduced during deformation and therefore a larger experimental error in the estimated activation energy is expected in the 3N Ni compared to that in the 2N Ni.

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Disclosure statement

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